AUG 1 2 2004 Substitute Form PTO-1449

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 00398-152001

Application No. 10/706,801

Information Disclosure Statement by Applicant (Use several sheets if necessary).

Applicant

Francine Foss

Filing Date November 12, 2003 **Group Art Unit** 1647

(37 CFR §1.98(b))

Ŧ,

U.S. Patent Documents Publication Examiner Desig. Document Filing Date Class Initial ID Number Date Patentee **Subclass** If Appropriate AA 4,816,567 5/28/1989 Cabilly et al. 4/8/2003 AB 6/29/1993 5,223,409 Ladner et al. 5/1/1991 AC 5,849,500 12/15/1998 Breitling et al. 6/7/1992 AD 1/28/2000 6,153,183 Bauer et al. 2/04/1994 6,361,976 5/26/2002 ΑE Bauer et al. 6/6/1995 AF 6,361,977 5/26/2002 Bauer et al. 2/2/1995 AG 6,379,662 4/30/2002 McKearn et al. 6/6/1995 AH 6,403,076 6/11/2002 Bauer et al. 6/6/1995 6/18/2002 ΑI 6,407,218 Tamarkin et al. 11/10/1998 AJ 6,413,509 7/2/2002 Bauer et al. 12/9/1996 AK 6,436,387 8/20/2002 Bauer et al. 12/9/1996

	Foreig	n Patent Docun	nents or Publis	hed Foreign P	atent A	Application	ns	
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class		Trans	lation
F4	AL	WO 86/01533	3/13/1986	PCT		1		
FH	AM	WO 90/02809	3/22/1990	PCT		1		
	AN	WO 91/00906	1/24/1991	PCT				
	AO	WO 91/10741	7/25/1991	PCT	1			
	AP	WO 91/17271	11/14/1991	PCT				
	AQ	WO 92/01047	1/23/1992	PCT				,
	AR	WO 92/03917	3/19/1992	PCT		Y		-
	AS	WO 92/03918	3/19/1992	PCT		\wedge		
	AT	WO 92/09690	6/11/1992	PCT				
	AU	WO 92/15679	9/17/1992	PCT	7			
	AV	WO 92/18619	10/29/1992	PCT	/			
	AW	WO 92/20791	11/26/1992	PCT				
	AX	247,091	9/29/93	Europe	7			

Examiner Signature	fora	tand	Date Considered	1/06/65
EXAMINER: Initials cit next communication to	ation considered. applicant.	Draw line through citation if no	t in conformance and no	not considered. Include copy of this form with

Substitute Form PTO-1449 (Modified)			Application No. 10/706,801	
by Ap	losure Statement plicant	Applicant Francine Foss		
(Use several sheets if necessary) (37 CFR §1.98(b))		Filing Date November 12, 2003	Group Art Unit	

	Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass		lation
- IIIIIai				 	Class	Subciass	Yes	No
FH	AY	125,023	3/13/02	Europe				
	AZ	171,496	5/26/93	Europe				
	AAA	173,494	3/5/86	Europe				
	ABB	184,187	6/11/86	Europe				

		Other D	ocuments (include Author, Title, Date, and Place of Publication)
Examii Initia	ner	Desig. ID	Document
F	H	ACC	Barbas et al., "Assembly of Combinatorial Antibody Libraries on Phage Surfaces: The Gene III Site," PNAS, 88:7978-7982 (1991)
4	H	ADD	Bajorath et al., "Knowledge-Based Model Building of Proteins: Concepts and Examples," Protein Sci., 2:1798-1810 (1993)
		AEE	Better et al., "Escherichia coli Secretion of an Active Chimeric Antibody Fragment," Science, 240:1041-1043 (1988)
		AFF	Bird et al., "Single-Chain Antigen-Binding Proteins," Science, 242:423-426 (1988)
		AGG	Bruggeman et al., "Human Antibody Production in Transgenic Mice: expression from 100 kb of the human IgH locus," Eur. J. Immunol., 21:1323-1326 (1991)
		АНН	Bruggeman et al., "Designer Mice: The Production of Human Antibody Repertoires in Transgenic Animals," Year Immunol., 7:33-40 (1993)
		AII	Campbell et al., "Phosphotyrosine-dependent Association Between CD22 and Protein Tyrosine Phosphatase 1C," Eur. J. Immunol., 25:1573-1579 (1995)
		AJJ	Chothia et al., "Canonical Structures for the Hypervariable Regions of Immunoglobulins," J. Mol. Biol., 196:901-917 (1987)
		AKK	Clackson et al., "Making Antibody Fragments Using Phage Display Libraries," Nature, 352:624-628 (1991)
		ALL	Cosenza et al., "Disulfide Bond Assignment in Human Interleukin-7 by Matrix-assisted Laser Desorption/Ionization Mass Spectroscopy and Site-directed Cysteine to Serine Mutational Analysis," J. Biol. Chem., 272:32995-33000 (1997)
		AMM	Cosenza et al., "Comparative Model Building of Interleukin-7 Using Interleukin-4 as a Template: A Structural Hypothesis that Displays Atypical Surface Chemistry in helix D Important for Receptor Activation," Protein Science, 9:916-926 (2000)
		ANN	Davies et al., "Cytokines and their Receptor Complexes," FASEB J., 9:50-56 (1995)
AOO Foxwell et al., "Interleukin-7 can induce the Activation of Jak 1, Jak 3 and STAT 5 protein Murine T Cells," Eur. J. Immunol., 25:3041-3046 (1995)			
		APP	Fuchs et al., "Targeting Recombinant Antibodies to the Surface of Escherichia Coli: Fusion to a Peptidoglycan Associated Lipoprotein," Bio/Technology, 9:1369-1372 (1991)
		AQQ	Garrad et al., "FAB Assembly and Enrichment in a Monovalent Phage Display System," Bio/Technology, 9:1373-1377 (1991)

Examiner Signature	Date Considered
Car Hand	11/16
EXAMINER: Initials citation considered. Draw line through citation if no	1 6 1 0 0
next communication to applicant.	t in conformance and not considered. Include copy of this form with
	Substitute Disclosure Form (PTO-1449)

Substitute Form PTO-1449 (Modified) U.S. Department of Commerce Patent and Trademark Office		Attomey's Docket No. 00398-152001	Application No. 10/706,801	
by A	closure Statement oplicant	Applicant Francine Foss		
(Use several si	neets if necessary)	Filing Date November 12, 2003	Group Art Unit	

	Other D	ocuments (include Author, Title, Date, and Place of Publication)
Examiner	Desig.	
Initial	סו	Document
FH	ARR	Goodwin et al., "Cloning of the Human and Murine Interleukin-7 Receptors: Demonstration of a Soluble Form and Homplogy to a New Receptor Superfamily," Cell, 60:941-951 (1990)
<u> </u>	ASS	Görgün et al., "Altered Biological Activity Associated with C-Terminal Modifications of IL 7," Cytokine, 20:17-22 (2002)
	ATT	Gram et al., "In Vitro Selection and Affinity Maturation of Antibodies from a naive Combinatorial Immunoglobulin Library," PNAS, 89:3576-3580 (1992)
	AUU	Green et al., "Antigen-specific Human monoclonal Antibodies From Mice Engineered with Human Ig Heavy and Light Chain YACs," Nature Genet., 7:13-21 (1994)
,	AVV	Griffths et al., "Human Anti-self Antibodies with High Specificity from Phage Display Libraries," EMBO J., 12:725-734 (1993)
	AWW	Hofmeister et al., "Interleukin-7: Physiological roles and Mechanisms of Action," Cytokine Growth Factor Rev., 10:41-60 (1999)
	AXX	Hoogenboom et al., "Multi-Subunit Proteins on the Surface of Filamentous Phage: Methodolgies for Displaying Antibody (Fab) Heavy and Light Chains," Nuc. Acid Res., 19:4133-4137 (1991)
	AYY	Hawkins et al., "Selection of Phage Antibodies by Binding Affinity," J. Mol. Biol., 226:889-896 (1992)
	AZZ	Hay et al., "Bacteriophage Cloning and Escherichia Coli Expression of a Human IgM Fab," Hum. Antibod. Hybridomas, 3:81-85 (1992)
	AAAA	Huse et al., "Generation of a Large Combinatorial Library of the Immunoglobulin Repertoire in Phage Lambda," Science, 246:1275-1281 (1989)
	ABBB	Huston et al., "Protein Engineering of Antibody Binding Site: Recovery of Specific Activity in a Anti-Digoxin Single-Chain Fv Analogue Produced in Escherichia Coli," Proc. Natl. Acad. Sci. USA, 85:5879-5883 (1988)
	ACCC	Kabat et al., Sequences of Proteins of Immunological Interest, Fifth Edition, U.S. Department of Health and Human Services, NIH Publication No. 91-3242 (1991)
	ADDD	Kruse et al., "Conversion of Human Interleukin-4 into a High Affinity Antagonist by a Single Amino Acid Replacement," EMBO J., 11:3237-3244 (1992)
	AEEE	Liu et al., "Production of a Mouse-Human Chimeric Monoclonal Antibody to CD20 With Potent Fc- Dependent Biologic Activity," J. Immunol., 139:3521-3526 (1987)
	AFFF	Liu et al., "Chimeric Mouse-Human IgG1 Antibody that Can Mediate Lysis of Cancer Cells," PNAS, 84:3439-3443 (1987)
	AGGG	Lonberg et al., "Antigen-Specific Human Antibodies from Mice Dcomprising Four Distinct Generic Modifications," Nature, 368:856-859 (1994)
	АННН	Lupton, et al., "Characterization of the Human and Murine IL-7 Genes," J. Immunol., 144:3592-3601 (1990)
	AIII	Morrison et al., "Chimeric Human Antibody Molecules: Mouse Antigen-Binding Domians with Human Constant Region Domains," Proc. Natl. Acad. Sci. USA, 81:6851-6855 (1994)
	AJJJ	Nishimura et al., "Recombinant Human-Mouse Chimeric Monoclonal Antibody Specific For Common Acute Lymphocytic Leukemia Antigen," Canc. Res., 47:999-1005 (1987)
/	AKKK	Noguchi et al., Interleukin-2 Receptor \$\gamma\$ Chain: A Functional Component of the Interleukin-7 Receptor," Science, 262:1877-1880 (1993)
	ALLL	Olosz, et al. "Three Loops of the Common y Chain Ectodomain Required for the Binding of Interleukin-2 and Interleukin-7" J. Biol. Chem. 275:30100-30105 (2000)

Examiner Signature Conc (+	Date Considered	66
EXAMINER: Initials citation considered. Draw line through citation if no next communication to applicant.	t in conformance and not co	nsidered. Include copy of this form with

Substitute Form PTO-1449 U.S. Department of Comm (Modified) Patent and Trademark O		Attomey's Docket No. 00398-152001	Application No. 10/706,801	
by A	closure Statement pplicant	Applicant Francine Foss		
(Use several s	heets if necessary)	Filing Date November 12, 2003	Group Art Unit	<u></u>

	Other Do	ocuments (include Author, Title, Date, and Place of Publication)
Examiner	Desig.	
Initial	ID	Document
FH	AMMM	Page et al., "Interleukin-7 Activates p56 ^{lck} and p59 ^{lyn} , Two Tyrosine Kinases Associated with the p90 Interleukin-7 Receptor in Primary Human T Cells," Eur. J. Immunol., 25:2956-2960 (1995)
	ANNN	Porter et al., "Control of T Cell Development In Vivo by Subdomains Within the IL-7 Receptor α-Chain Cytoplasmic Tail," J. Immunol., 166:262-269 (2001)
	A000	Seckinger et al., "Activation of src Family Kinases in Human Pre-B Cells by IL-7," J. Immunol., 153:97-109 (1994)
	APPP	Shaw et al., "Mouse/Human Chimeric Antibodies to a Tumor-Associated Antigen: Biologic Activity of the Four Human IgC Subclasses," J. Natl. Cancer Inst., 80:1553-1559 (1988)
	AQQQ	Sun et al., "Chimeric Antibody with Human Constant Regions and Mouse Variable Regions Directed Against Carcinoma-Associated Antigen 17-1A," PNAS, 84:214-218 (1987)
	ARRR	Tuaillon et al., "Human Immunoglobulin Heavy-Chain Minilocus Recombination in Transgenic Mice: Gene-Segment Use in u and y Transcripts," PNAS, 90:3720-3724 (1993)
	ASSS	vanderSpek et al., "Structure Function Analysis of Interleukin 7: Requirement For an Aromatic Ring at Position 143 of Helix D," Cytokine, 17:227-233 (2002)
	ATTT	Venkitaraman et al., "Interleukin-7 Induces the Association of Phosphatidylinositol 3-Kinase with the a chain of the Interleukin-7 Receptor," Eur. J. Immunol., 24:2168-2174 (1994)
	AUUU	Ward et al., "Binding Activites of a Repertoire of Single Immunoglobulin Variable Domains Secreted From Escherichia Coli," Nature, 341:544-546 (1989)
	AVVV	Wood et al., "The Synthesis and In Vivo Assembly of Functional Antibodies in Yeast," Nature, 314:446-449 (1985)

Examiner Signature			Date Considered , /	<i>(</i> :
	him	Hand	[/6	106
EXAMINER: Initials cita	tion considered	d. Draw line through citation if no	t in conformance and not cons	idered. Include copy of this form with
next communication to a	pplicant.			• •